

VistA Audit Solution (VAS) 2.2 Technical Manual



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**Department of Veterans Affairs
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Revision History

NOTE: The revision history cycle begins once changes or enhancements are requested after the document has been baselined.

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1 Introduction

The Veterans Information System and Technology Architecture (VistA) Audit Solution (VAS) is a real-time web-based interface.

It provides a nationwide Health Insurance Portability and Accountability Act (HIPAA) compliant Audit Tracking Solution with the ability to track and report on access logs for patients' Personally Identifiable Information (PII)/Protected Health Information (PHI) data across all VistA instances. VAS users are Privacy Officers, Information Systems Security Officers (ISSO), and their authorized representatives who need the ability to view the log of Creation, Retrieval, Updating, and Deletion (CRUD) operations on patient information *to respond to HIPAA, employee and Inspector General (IG) requests*. This data originates from VistA and flows through in-memory database servers to be stored in the Veterans Affairs Enterprise Cloud (VAEC) Amazon Web Services (AWS). VAS web-based User Interface (UI) will access and display the data stored in AWS.

Authorized VAS users may view the patient data that was accessed and modified as well as the individual that performed the actions.

1.1 Purpose

The purpose of the VAS Technical Manual is to familiarize authorized users and support staff with the important features and navigational elements of the product.

1.2 System Overview

The VAS UI is a web-based application that may be accessed using either Google Chrome or Microsoft Edge.

VAS provides the ability to search for audit records generated from any VistA instance.

The VAS UI provides the following functionalities and features:

- Audited patient data accessed and modified within VistA is available for review in the VAS UI by authorized users.
- Authorized VAS users may view and identify the actions made by VistA users for targeted audit records to include the individual (and date/time) that performed the actions.

Additional functions include:

- Search for patient record selection utilizing patient identifying information including full name, date of birth, and social security number
- Selection of user from the VistA environment that has accessed the chosen patient record
- Review and navigation of metadata records
- Selection and view of selected audit record fields

1.3 Document Orientation

The intended audience for the VAS Technical Manual is anyone that is supporting the VAS UI system.

1.3.1 Disclaimers

1.3.1.1 Software Disclaimer

This software was developed at the Department of Veterans Affairs (VA) by employees of the Federal Government in the course of their official duties. Pursuant to title 17 Section 105 of the United States Code, this software is not subject to copyright protection and is in the public domain. VA assumes no responsibility whatsoever for its use by other parties, and makes no guarantees, expressed or implied, about its quality, reliability, or any other characteristic. This software can be redistributed and/or modified freely provided that any derivative works bear some notice that they are derived from it, and any modified versions bear some notice that they have been modified.

1.3.1.2 Documentation Disclaimer

The appearance of external hyperlink references in this manual does not constitute endorsement by the Department of Veterans Affairs (VA) of this website or the information, products, or services contained therein. The VA does not exercise any editorial control over the information you may find at these locations. Such links are provided and are consistent with the stated purpose of the VA.

1.3.2 References

- VAS 2.2 User Guide
- VAS 2.2 Technical Manual
- VAS 2.2 Release Notes
- VAS 2.2 Deployment, Installation, Back-out, Rollback Guide
- WEBS*2*3 Patch Description

2 Implementation and Maintenance

VAS is a web-based application available on Google Chrome and Microsoft Edge for VA.

For information, contact the VAS Support team using the email group available in the VA Global Address List (GAL) (See Section 6).

2.1 System Requirements

The VAS is using the VAEC AWS GovCloud which contains Redshift for indexing and querying of data and S3 Bucket for long-term data storage.

2.1.1 Hardware Requirements

None

2.1.2 Software Requirements

Software requirements for VAS 2.2 are as follows:

- Google Chrome or Microsoft Edge web browsers – used to display VAS UI
- Docker Container – VAS docker containers to be stored in the new GitHub Docker repository for VAS so that they are not publicly available outside of the VA network

- Subscriber Microservice – Node JS Microservice
- Metadata Microservice – Node JS Microservice
- Redshift Microservice – Java Microservice
- Rest Microservice – Node JS Microservice
- Report Microservice – Node JS Microservice
- GUI Microservice – Node JS Microservice
- Amazon Redshift – used as a database to index audit records to retrieve using UI
- Amazon ElastiCache – used to access patient records from Redshift using index
- Amazon S3 Bucket – used for long-term storage of the audit records within the AWS GovCloud servers

REDACTED

Figure 1: VAS ATO Physical Diagram in Pre-Production

REDACTED

Figure 2: VAS ATO Physical Diagram in Production

REDACTED

Figure 3: VAS System Data Flow Diagram as of January 5, 2024

REDACTED

Figure 4: VAS UI Data Flow Diagram as of August 2, 2024

2.1.3 Database Requirements

Database Requirements for VAS 2.2 are as follows:

- Amazon Redshift – Amazon Data Warehouse database used to index metadata tags for retrieval of audit records from UI
- Amazon S3 Bucket – utilized as long-term storage of the audit records within the AWS GovCloud servers
- Amazon ElastiCache – used to access patient records from Redshift using index

REDACTED

Figure 5: VAS Data Schema

2.1.4 Cloud Services

- Amazon Redshift – Amazon Data Warehouse database used to index metadata tags for retrieval of audit records from UI
- Amazon S3 Bucket – utilized as long-term storage of the audit records within the AWS GovCloud servers
- Amazon ElastiCache – used to access patient records from Redshift using index
- AWS Elastic Compute Cloud (EC2) – secure and resizable compute capacity to support virtually any workload

- Amazon Virtual Private Cloud (VPC) – a service that lets you build and launch AWS resources in a logically isolated network in the AWS GovCloud
- Amazon CloudWatch – used for monitoring and provides observability on AWS resources and applications on AWS and on-premises
- AWS CloudTrail – tracker of users’ activities and API usage thereby enabling governance, compliance, and operation auditing

3 Files

- Docker files: subscriber-microservice, redshift-microservice, metadata-microservice, rest-microservice, gui-microservice, report-microservice

4 Routines

No routines

5 Exported Options

Not applicable

6 Mail Groups, Alerts, and Bulletins

For additional support and information, contact the VAS Support team using the email group available in the VA Global Address List (GAL).

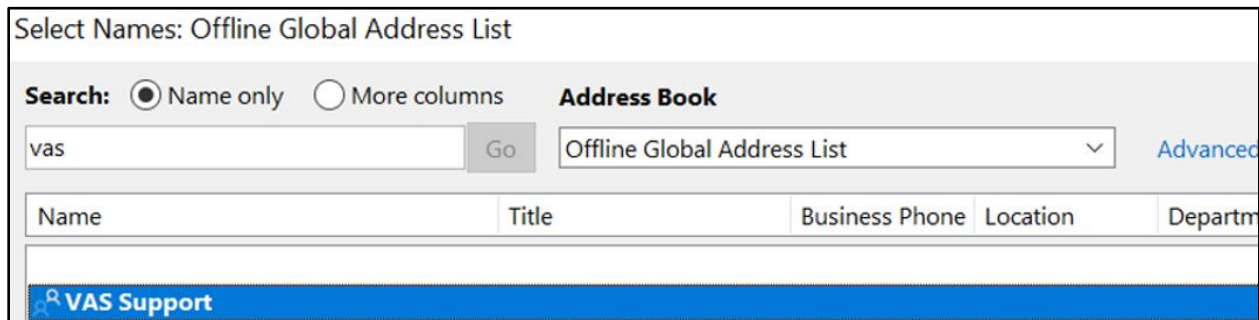


Figure 6: VAS Support Team Email Group

7 Public Interfaces

None

7.1 Integration Control Registrations

Not applicable

7.2 Application Programming Interfaces

None

7.3 Remote Procedure Calls

None

7.4 HL7 Messaging

None

7.5 Web Services

None

8 Standards and Conventions Exemptions

8.1 Internal Relationships

Each microservice has a dependency on each other. The Subscriber microservice sends messages to the Redshift microservice via the queue. The Redshift microservices reads the messages and inserts them into the Redshift database.

8.2 Software-wide Variables

Not Applicable

9 Security

9.1 Security Menus and Options

N/A

9.2 Security Keys and Roles

Only authorized users with active PIV cards and Active Directory (AD) group membership are allowed to access the VAS UI.

9.3 File Security

There are no files stored in the system.

9.4 Electronic Signatures

N/A

9.5 Secure Data Transmission

N/A

10 Archiving

Data is archived automatically into the S3 file system.

11 Non-Standard Cross-References

None

12 Troubleshooting

FAQs

- What if I don't see the data I expect?

- Times for data to arrive and process will vary based on network conditions. Please submit an email to the VAS Support team (see Section 6) if data is not visible after 24 hours. VAS Support team will submit a Service Now (SNOW) ticket if necessary.
- What if I can't access the VAS UI?
- Please submit an email to the VAS Support team (see Section 6) for assistance. VAS Support team will submit a SNOW ticket if necessary.

12.1 Special Instructions for Error Correction

None

12.2 National Service Desk and Organizational Contacts

Contact the VAS team by submitting an email to the VAS Support email group (see Section 6).

Appendix A: Acronyms

Acronyms	Definition
AD	Active Directory
API	Application Programming Interface
AWS	Amazon Web Services
CRUD	Creation, Retrieval, Updating, and Deletion
DIBRG	Deployment, Installation, Back-Out and Rollback Guide
HIPAA	Health Insurance Portability and Accountability Act
IG	Inspector General
IOC	Initial Operating Capability
ISSO	Information System Security Officer
IT	Information Technology
MUMPS	Massachusetts General Hospital Utility Multi-Programming System
OIT	Office of Information Technology
PHI	Protected Health Information
PII	Personally Identifiable Information
PIMS	Patient Information Management System
PIV	Personal Identification Verification
PO	Privacy Officer
SNOW	Service Now
SQA	Software Quality Assurance
TAR	Test Analysis Report
UAT	User Acceptance Testing
UI	User Interface

Acronyms	Definition
VA	Department of Veterans Affairs
VAS	VistA Audit Solution
VAEC	Veterans Affairs Enterprise Cloud
VAMC	VA Medical Center
VDL	VA Software Document Library
VHA	Veterans Health Administration
VistA	Veterans Information System and Technology Architecture